

NOTICE OF PENALTIES:
THE FAILURE OF ANY OFFICIAL OR EMPLOYEE TO FILE BY TUESDAY, FEBRUARY 17, 2009, WITHOUT REASONABLE CAUSE SHALL RENDER THAT PERSON DELINQUENT AND MAY RESULT IN AN ADMINISTRATIVE SANCTION OF \$50.00 A DAY FOR EACH BUSINESS DAY AFTER FEBRUARY 17, UP TO A MAXIMUM PENALTY OF \$500.00 FOR A FIRST OFFENSE AND \$1,000 FOR SUBSEQUENT OFFENSES.



CITY OF ATLANTA BOARD OF ETHICS
2009 FINANCIAL DISCLOSURE STATEMENT
Filing Deadline Tuesday, February 17, 2009

*To be completed by city officials and employees who are currently serving or served in 2008
Covering reporting period from January 1, 2008, to December 31, 2008*

PART ONE: IDENTIFYING INFORMATION

*Read all instructions carefully and complete each part. All filers must answer questions marked with *.*

(1) **Name*** _____

First

Middle

Last

The answers to questions 2-5 are for administrative purposes only and will not be made available online.

(2) **Home Address*** _____

Street

Apt.

City

State

Zip Code

(3) **Mailing Address** (if different) _____

(4) **Telephone Number*** _____

Day-time

Other

(5) **E-mail Address*** _____

PART TWO: REASONS FOR FILING

(6) **Filing Categories*** Select all that applied to you in 2008.

Are you a city elected official or were you one in 2008?

Are you a city employee or were you one in 2008?

Are you a member of a city board or commission, appointed by the Mayor or City Council to another public board, or a city hearing officer, or were you one in 2008?

Are you a neighborhood planning unit chair or vice chair, or were you one in 2008?

Are you the executive director, president, or chief executive officer of a city board, commission, authority, or similar body, or were you one in 2008?

(7) a. **City Elected Officials** What is or was your position as a city elected official?

- Mayor
- Council President
- City Council Member
- Municipal Court Judge

b. Are you currently serving as an elected city official?

- Yes No

c. When was your last month as an elected city official?

_____ Month _____ Year

(8) **Board Members & Hearing Officers**

a. What is the name of the board or entity? _____

b. Are you currently serving in this position? Yes No

c. When was your last month of service?

_____ Month _____ Year

(9) **Neighborhood Planning Unit Officers**

a. Which neighborhood planning unit? _____

b. Which office do you hold in 2009? Chair, President, or Presiding Officer Vice Chair

c. Which office did you hold in 2008? Chair, President, or Presiding Officer Vice Chair

(10) **Executive Directors**

a. Name of board or entity _____

b. Are you currently serving in this position? Yes No

c. When was your last month of service?

_____ Month _____ Year

PART THREE: SOURCES OF INCOME

(11) a. **City Employment Income** Since January 1, 2008, have you been employed by or received employment income from the City of Atlanta or a city-related agency? *Select yes if you are now on the city's payroll or were in 2008. Select no if your only compensation from the City is related to your service as a city board member.*

- Yes No

b. City Employees. What is or was your position with the City?

- Chief or Deputy Chief Operating Officer; Chief or Deputy Chief of Staff
- Commissioner, Department head, or its equivalent
- Deputy Commissioner, Deputy Department head, or its equivalent
- Employee in the Mayor's office who reports directly to the Mayor
- Other city employee

c. Specific city job title _____

d. City department or agency _____

e. Are you currently employed with the City? Yes No

f. When was your last month on the city's payroll? _____
Month Year

(12) a. **Non-City Employment Income*** Since January 1, 2008, have you been self-employed or employed by any corporation, partnership, proprietorship, other business entity, non-profit organization, or other governmental entity besides the City of Atlanta?

Yes No

b. List the full name of every business entity, non-profit organization, or governmental agency in which you held an employment position. *You are not required to list any individual client or customer. Do not abbreviate or use initials. Example: John Smith Consultants, not JSC.*

(1) _____

(2) _____

c. Choose the category that best describes the type of business or services offered by each entity. *If you list more than one entry, state the entity name or number next to its category.*

- | | |
|--|--|
| <input type="checkbox"/> Arts and entertainment | <input type="checkbox"/> Manufacturing |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Professional services |
| <input type="checkbox"/> Educational services | <input type="checkbox"/> Other services |
| <input type="checkbox"/> Finance | <input type="checkbox"/> Real estate |
| <input type="checkbox"/> Food and accommodation services | <input type="checkbox"/> Retail trade |
| <input type="checkbox"/> Government | <input type="checkbox"/> Transportation |
| <input type="checkbox"/> Health care | <input type="checkbox"/> Wholesale trade |
| <input type="checkbox"/> Insurance | |
| <input type="checkbox"/> Other (<i>specify</i>) _____ | |

d. If you checked professional or other services in the last question, specify the type of services offered.

- Legal
- Accounting
- Consulting
- Public relations
- Other (*specify*) _____

(13) a. **Other Business Income*** Since January 1, 2008, have you received more than \$5,000 in annual income from any corporation, partnership, proprietorship, non-profit organization, or other business entity, including limited partnerships or limited liability corporations, not listed previously. *Select no if the income is investment income from shares or holdings in securities, common stocks, bonds, or mutual funds.*

Yes No

b. List the full name of every business entity or other organization from which you received income of more than \$5,000 in 2008 and that you have not previously listed. *You are not required to list any individual client or customer. Do not abbreviate or use initials.*

(1) _____

(2) _____

(3) _____

c. Choose the category that best describes the type of business or services offered by each entity. *If you list more than one entry, state the entity name or number next to its category.*

- | | |
|--|--|
| <input type="checkbox"/> Arts and entertainment | <input type="checkbox"/> Manufacturing |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Professional services |
| <input type="checkbox"/> Educational services | <input type="checkbox"/> Other services |
| <input type="checkbox"/> Finance | <input type="checkbox"/> Real estate |
| <input type="checkbox"/> Food and accommodation services | <input type="checkbox"/> Retail trade |
| <input type="checkbox"/> Government | <input type="checkbox"/> Transportation |
| <input type="checkbox"/> Health care | <input type="checkbox"/> Wholesale trade |
| <input type="checkbox"/> Insurance | |
| <input type="checkbox"/> Other (<i>specify</i>) _____ | |

PART FOUR: BUSINESS WITH THE CITY

(14) a. **Doing Business with the City*** Has any person, employer, or entity that you listed as a source of income engaged in business with the City of Atlanta since January 1, 2008? *Select no if your employer is a large organization and you, in good faith, do not know whether it does business with the City.*

- Yes No

b. What is the full name of the entity? _____
List each business entity separately. Do not abbreviate or use initials.

c. What is its business address? _____

d. What is the nature of its business or contract with the City? _____

e. To which department or city agency were the services or products provided?

f. How was the business awarded?

- Competitive sealed bid
 Request for proposal
 Contract for goods and services
 Memorandum of understanding
 Grant
 Other contractual agreement
 Other (*specify*) _____

g. Were you personally involved in any way in acquiring or doing work in connection with the business or contract for the City?

Yes No

If yes, explain fully _____

(15) a. **Representing Private Interests before City Agencies*** Since January 1, 2008, have you been paid or compensated for appearing on behalf of any person, client, or private interest before any city agency? *Select no if you appeared on your own behalf in your personal capacity as a city resident or appeared without compensation.*

Yes No

b. What is the name of the person or client represented? _____

c. Before which department or city agency did you appear? _____

d. What was the nature of the business? _____

(16) a. **Representing Private Interests before Courts*** Since January 1, 2008, have you been paid or compensated for appearing in court on behalf of any person or private interest in any action, proceeding, or litigation against the City of Atlanta or a city agency or in which the City is the complainant?

Yes No

b. In what court did you appear?

Municipal Court of Atlanta

Superior or State Court

State Appellate Court

Federal Court

Other (*specify*) _____

c. In what capacity did you appear?

Attorney

Expert witness

Other (*specify*) _____

PART FIVE: FAMILY MEMBERS' TRANSACTIONS WITH THE CITY

(17) a. **Family Employment with the City*** Since January 1, 2008, has the City employed any of the following immediate family members: your spouse, registered domestic partner, father, mother, brother, sister, an adopted or natural child, or the spouse of a parent, sibling, or child?

Yes No

b. What is the family member's name? _____

c. What is your relationship?

Spouse or registered domestic partner

Father or mother

Brother or sister

Son or daughter

A spouse of a parent, sibling, or child

d. In which city department or agency does he or she work? _____

e. Do you directly supervise or are you directly supervised by the immediate family member?
 Yes No

(18) a. **Family Transaction with the City*** Since January 1, 2008, has your spouse, registered domestic partner, father, mother, brother, sister, or child derived any benefit from any transaction with the City directly or through a business in which they have a majority or controlling interest? *Select yes if you know or, with reasonable investigation should know, about the contract or other transaction with the City. Do not include any city employment listed in the last question.*
 Yes No

b. What is the family member's or business's name? _____

c. What is your relationship?
 Spouse or registered domestic partner
 Father or mother
 Brother or sister
 Son or daughter
 A spouse of a parent, sibling, or child

d. What is the nature of the transaction with the City?
 Contract
 Zoning matter
 Liquor license
 Grant or receipt of aid
 Other (*specify*) _____

e. With what city department or agency is the transaction? _____

PART SIX: DIRECT OWNERSHIP INTERESTS IN REAL PROPERTY

(19) a. **Property Ownership*** Do you own any real property in the State of Georgia, including your principal place of residence? *Select yes even if you have a mortgage or deed to secure debt on your home. Include any beneficial ownership interest, deed, trust, or interest in real property held by a partnership, corporation, or other business entity.*
 Yes No

b. List the city, county, and zip code in which you own property and check whether the property is located in the City of Atlanta. *If the information is the same for more than one parcel, you do not need to repeat the entry.*

(1)	_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
	City	County	Zip Code	Inside City of Atlanta
(2)	_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
	City	County	Zip Code	Inside City of Atlanta
(3)	_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
	City	County	Zip Code	Inside City of Atlanta

PART SEVEN: ADDITIONAL QUESTIONS FOR CERTAIN DESIGNATED FILERS

(20) a. **Stocks, Bonds, Blind Trusts, and Debt Holdings** *To be completed by city elected officials, chief or deputy chief operating officers; chief or deputy chiefs of staff; commissioners, department heads, or their equivalents; deputy commissioners, deputy department heads, or their equivalents; and employees in the Mayor's office who report directly to the Mayor. Select not applicable if you are not required to answer this question.*

During 2008, did you hold more than \$10,000 in any stock, bond, blind trust, debenture, or other form of debt obligation of any corporation or business entity? *Select no if your holdings are in mutual funds, personal checking accounts, time deposit accounts, other savings or retirement accounts held by a financial institution or the U.S. government, or any city deferred compensation or pension program.*

Yes No

b. What is the name of the stock, bond, blind trust, debenture, or other debt obligation?

(21) a. **Relatives Employed by the City** *To be completed by the Council President and Council members. Select not applicable if you are not required to answer this question.*

Since January 1, 2008, has the City employed any of the following relatives or other related persons?

- Your husband or wife
- Your registered domestic partner
- Your father, mother, or their spouses
- Your brother, sister, or their spouses
- Your son, daughter, or their spouses
- Your grandfather, grandmother, or grandchild
- Any relative living in your household
- Any person whom you intend to marry or with whom you intend to form a household
- Any other natural person having the same legal residence as you

Yes No

b. What is the relative's name? _____

c. What is your relationship?

- Spouse or registered domestic partner
- Father, mother, or their spouses
- Brother, sister, or their spouses
- Son, daughter, or their spouses
- Grandfather, grandmother, or grandchild
- Any relative living in your household
- Any person whom you intend to marry or with whom you intend to form a household
- Any other natural person having the same legal residence as you

d. In which city department or agency does he or she work? _____

(22) a. **Identity of Individual Clients** *To be completed by the Council President and Council members. Select not applicable if you are not required to answer this question.*

For each business that was a source of income in excess of \$5,000 in 2008, does it have a client, customer, or patron that does or seeks business with the City, does or seeks official action from the City, is a registered lobbyist, or is a "prohibited source" for another reason? *Select yes if you (a) know that the client is a prohibited source and (b) know that the prohibited source is a client of your business.*

Yes No

b. What is the name of the prohibited source that is your business's client, customer, or patron?

c. What is the address of the client, customer, or patron?

PART EIGHT: SIGNATURE. *You must sign your statement to complete it.*

I declare under penalty of perjury that I have reviewed this 2009 City Financial Disclosure Statement and to the best of my knowledge it is a true, accurate, and complete statement of my current financial interests. I understand that intentionally filing a statement that contains false or misleading information can result in sanctions or other penalties.

Signature

Date

REMINDER NOTICE: City officials and employees need to file a separate online disclosure form if any of the following conditions applied in 2008 and they have not previously filed a form about the matter:

- File a **Conflict of Interest Disclosure Report** if you had a financial or personal interest in any proposed legislation or decision pending before you or your agency
- File a **Gift to City Report** if you or your agency accepted gifts on behalf of the City from a prohibited source, as a result of soliciting a donation, as an honorarium, or for addition to the general fund or the city's inventory of property
- File a **Travel Disclosure Report** (formerly called the Expense Reimbursement Report) if you were paid by or received expense reimbursements from non-city sources in connection with travel in an official capacity for a speech, conference, or other event

Civil Penalties for Violating Financial Disclosure Requirements

- Automatic late filing fines for any official or employee filing after March 1, 2009
- Listing in Roll of Delinquent Filers posted at Board of Ethics' website
- Recommendation to department head of a day's suspension without pay
- Recommendation to appointing authority of removal from office of any nonfiling official
- Recommendation that former employees are ineligible for rehiring and former board members are ineligible for appointment for one year