



EQUAL BUSINESS OPPORTUNITY (EBO) SMALL BUSINESS OPPORTUNITY (SBO) CERTIFICATION APPLICATION

Sole Proprietor

Greetings prospective City of Atlanta certified Minority/ Female/ Small Business Enterprise applicant:

The first step in having your business certified with the City of Atlanta is to obtain a City of Atlanta vendor number (Supplier ID). The procedure to obtain a Supplier ID number is a free, automated process that can be accomplished on-line. To register with the City of Atlanta and receive a Supplier ID number, please do the following:

- 1) Go to the City's website: www.atlantaga.gov
- 2) Click on the link "Doing Business" drop down to Suppliers
- 3) Click on the link "Registration"
- 4) IRS Form W-9 is required for processing the Supplier ID Registration application

For information regarding the **Supplier ID Registration phase only**, please contact Seana Nash in the Department of Procurement at snash@atlantaga.gov or 404-330-6203.

ALL questions on the certification application must be answered completely and ALL requested documentation must accompany the application. Submit the completed application and documentation to the Office of Contract Compliance. Failure to complete portions of the application and provide the required documentation will delay the certification process or result in denial of certification.

The information on the application must be true and accurate to the best of the applicant's knowledge. The application must be signed and notarized. The information requested is for use by the Office of Contract Compliance only and will be kept confidential to the extent allowable by law.

Your business must be located within one of the following twenty county areas to be considered for certification in the City of Atlanta Equal Business Opportunity Program. The twenty county areas includes: Barrow, Bartow, Carroll, Cherokee, Clayton, Coweta, Cobb, DeKalb, Douglas, Fayette, Forsyth, Fulton, Gwinnett, Henry, Newton, Paulding, Pickens, Rockdale, Spalding and Walton counties.

If your company is denied certification, you have the right to appeal the decision in accordance with the City of Atlanta Code of Ordinances §2-1456 and §2-1367.

If you have any questions regarding the certification phase, please contact Certification in the Office of Contract Compliance at (404) 330-6010.

Very sincerely,

Larry Scott, Director
The Office of Contract Compliance

DOCUMENTATION TO SUBMIT WITH APPLICATION

SOLE PROPRIETORSHIP – Must submit copy of the following:

- ____ 1. Vendor Number (Supplier ID)*
- ____ 2. Email Address*
- ____ 3. Tax ID Number*
- ____ 4. Bank Signature Card
- ____ 5. Proof of U. S. Citizenship/ Race/ Gender (a. birth certificate) **and** (b. Government Issued Photo ID or U. S. Passport)
- ____ 6. Copy of current Business License which shows that company is located in one of the following 20 counties: Barrow, Bartow, Carroll, Cherokee, Clayton, Cobb, Coweta, DeKalb, Douglas, Fayette, Forsyth, Fulton, Gwinnett, Henry, Newton, Paulding, Pickens, Rockdale, Spalding, and Walton
- ____ 7. Current résumé of all principals of company showing Education, Training, Employment and Experience with dates
- ____ 8. Provide copy of the lease, rental, or management agreement for business premises, including local business telephone number
- ____ 9. Organizational Chart
- ____ 10. All applicants must choose between one (1) and four (4) NAICS codes
- ____ 11. Company Capability Statement
- ____ 12. URL (web) Address
- ____ 13. Previous three (3) years Federal Tax returns including all schedules
- ____ 14. Equipment rental and purchase agreement (if applicable)
- ____ 15. Proof of capital invested (canceled checks, front and back)

***Applications will not be accepted without this information**

The Certification Affidavit and all supporting documents must be submitted together. All supporting documents relevant to your legal form of business enterprise (corporation, general partnership, limited partnership, sole proprietor or limited liability company) must also be submitted with the Certification Affidavit. Failure to submit all the required documentation will result in a delay in the processing or denial of certification of your business.

Completed applications may be mailed or presented to the office; **NO** faxed copies will be accepted.

Submit all completed documents with tabs to:

City of Atlanta
Office of Contract Compliance
68 Mitchell Street SW, Suite 5100
Atlanta, Georgia 30303

Dear Prospective Minority, Female, Small Business Enterprise Applicant:

This page is to help you properly identify NAICS Codes for your industry for Certification.

Our list of NAICS Codes is located on the City of Atlanta website at www.atlantaga.gov/contractcompliance. Next, scroll down to **NAICS Search Tool** and click the link, taking you to the NAICS search tab. Enter the keyword or description for your industry in the search field and click “**Submit.**” Scroll down the page to view the results.

If you have any questions, please contact the Office of Contract Compliance at (404) 330-6010.

Please list up to four (4) NAICS Codes and corresponding business descriptions below:

**CITY OF ATLANTA
EQUAL BUSINESS OPPORTUNITY/SMALL BUSINESS OPPORTUNITY
CERTIFICATION AFFIDAVIT
FOR**

Name of Enterprise

Supplier ID#

Tax ID#

Email Address

City of Atlanta Project Pending?

Yes No

Bid Due Date:_____

FC#_____

Name of Project:_____

The information supplied herein by an authorized individual shall clearly identify and evidence the extent of minority and/or female ownership and control of this business enterprise.

All required supporting documents must be included, along with the signature of the authorized persons affixed where ever requested. This Certification Affidavit must be signed and notarized prior to evaluation by the Office of Contract Compliance.

*Note: All items on this Certification Affidavit must be completed and submitted to the Office of Contract Compliance at the same time.

Definitions:

City of Atlanta Ordinance Section 2-1443 and Section 2-1357 set out the definitions for "African American Business Enterprise" (AABE), "Asian Pacific American Business Enterprise" (APABE), "Bid", "Bidder", "Commercially Useful Function", "Controlled", "Eligible Project", "Female Business Enterprise", (FBE), "Hispanic American Business Enterprise" (HABE), "Joint Venture", "Minority Business Enterprise", (MBE) and "Small Business Enterprise (SBE).

"Minority Business Enterprise (MBE)": a business which is an independent and continuing operation for profit, performing a commercially useful function and which is owned and controlled by one or more minority group members, as defined in Section 2-1356, which group has been determined to have suffered discrimination requiring amelioration as defined in Section 2-1445(23), (24) and is certified as such by the city.

"Owned": the minority or female owner, shall possess an ownership interest of at least 51 percent of the business; such ownership shall be real and continuing and shall go beyond the mere indicia of ownership of the business reflected in the ownership documents; and the minority or female owner shall enjoy the customary incidents of ownership and shall share in the risks and profits commensurate with their ownership interests, as demonstrated by an examination of the substance, rather than the form of ownership arrangements.

"Controlled": the minority or female shall possess and exercise the legal authority and power to manage business assets, good will and daily operations of the business; and actively and continuously exercise such managerial authority and power in determining the policies and directing the operations of the business.

APPLICANT IS APPLYING FOR CERTIFICATION AS:

| | |
|---|-----------------------------|
| _____ African American Business Enterprise (AABE) | _____ Corporation |
| _____ Female Business Enterprise (FBE) | _____ Partnership |
| _____ Hispanic American Business Enterprise (HABE) | _____ Sole Proprietor |
| _____ Asian (Pacific Islander) American Business Enterprise (APABE) | _____ Limited Partnership |
| _____ Small Business Enterprise (SBE) | _____ Limited Liability Co. |

In an effort to become certified for participation in the City of Atlanta's EQUAL BUSINESS OPPORTUNITY PROGRAM and/or SMALL BUSINESS OPPORTUNITY PROGRAM, affiant/applicant offers the following information as evidence of its qualifications:

1.

The name of the principal, owner, partner, or corporate officer is:

_____ Title: _____

The mailing address is: _____

City: _____ County: _____ State: _____ Zip: _____

Telephone: (_____) _____ Fax (_____) _____

Pager: (_____) _____ Mobile: (_____) _____

Email Address: _____

2.

- A. Is the principal/owner a citizen of the United States? yes no

- B. If NO, is the principal/owner a lawful permanent resident of the United States? yes no

- C. Current certification as an DBE or ACDBE issued by GDOT or MARTA? yes no

- D. Previous certification as an M/FBE or SBE with the City of Atlanta? yes no

- E. Previous certification as an M/FBE or SBE with any other governmental agency? yes no

- F. If you answered YES to any of the above questions, please provide a copy of the respective certifications, approval letters or certificates and attach them to this CERTIFICATION AFFIDAVIT.

G. Denial of certification as an M/FBE or SBE by any governmental agency? [] yes [] no

H. If YES, submit copy of denial document.

I. Has there been participation and involvement by any of the principals in another firm wherein there has been a challenge, appeal or suspension of M/FBE or SBE certification by the City of Atlanta or any other governmental entity? [] yes [] no

J. If YES describe the following: (a) the name of the enterprise, (b) the name of the principal, (c) whether the action was a suspension, (d) whether the enterprise filed a formal appeal, (e) the Name of the governmental agency (including phone number) and (f) the current status of the challenge, appeal and/or suspension is:

3.

Are there any licenses or accreditations required to engage in the business of your enterprise? [] yes [] no

| Type | Issued to | Issued by | Date Issued |
|-------|-----------|-----------|-------------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

4.

The business was started, formed and/or acquired by its present owners on _____ 20____ in the following manner:

_____ Bought as existing business _____ Started as new business
 _____ Secured Franchise _____ Merger or consolidation

Other Manner; explain _____

5.

If the business previously operated under another name, please provide the previous name and address of the enterprise:

6.

Are the owners, partners or principals of the enterprise affiliated with any other firm(s) as employees, shareholders, directors, members, or owners? [] yes [] no

If YES, they are:

| Name of Person Affiliated with Another firm | Person's title at affiliated firm | Name of affiliated firm | Affiliated firm's telephone number |
|---|-----------------------------------|-------------------------|------------------------------------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

7.

The total amount of monies and all items of any value owed to the enterprise by any and all firm principals and/or spouse(s) or family members of principals:

| Title/Name | Reason for Debt | Amount of Debt | Date Issued/Due |
|------------|-----------------|----------------|-----------------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

8.

The total amount of monies and all items of any value which the enterprise owes to any shareholder, partner, principal, officer or member of the applicant enterprise or any spouse or sibling of the applicant enterprise:

| Title/Name | Reason for Debt | Date Issued/Due |
|------------|-----------------|-----------------|
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |

9.

The assets of the applicant/business, including real estate holdings, trade equipment, office furnishings and office equipment include:

| Description of Asset | Real Dollar Value | Type of Lien/Encumbrance upon the Property |
|----------------------|-------------------|--|
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |

10.

_____ is a **SOLE PROPRIETOR**
(Name of Business Enterprise)

Name of Owner _____

Home Address _____

Ethnic Group _____ Sex _____ Date Of Investment _____

11.

What persons, firms, or entities have loaned monies to the Sole Proprietor?

| Person/Firm | Amount | Reason for Loan | Condition/Terms |
|-------------|--------|-----------------|-----------------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

12.

Is the Company bonded? yes no

If YES, list the current bonding company, bonding limits, amount of any Letter of Credit, the issuing banking institution, and attach copy of bond letter

| Bonding Co./ Address | Bond Limit | Issuing Bank | Dollar Value of Letters of Credit |
|-------------------------|------------|--------------|--------------------------------------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

13.

The name, title, sex and ethnic groups of the individuals of the business enterprise most responsible for:

a. Determining what jobs the enterprise will undertake

| Name | Title | Ethnic Group | Gender |
|-------|-------|--------------|--------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

b. Project Supervision
Name

Title

Ethnic Group

Gender

c. Major Expenditures
Name

Title

Ethnic Group

Gender

d. Hiring/Firing Personnel
Name

Title

Ethnic Group

Gender

e. Preparing Job Estimates
Name

Title

Ethnic Group

Gender

f. Submitting Quotations
Name

Title

Ethnic Group

Gender

g. Reviewing Plans and/or Specifications
Name

Title

Ethnic Group

Gender

h. Field Supervision
Name

Title

Ethnic Group

Gender

i. Project Coordination
Name

Title

Ethnic Group

Gender

j. Equipment Rental
Name

Title

Ethnic Group

Gender

k. Purchasing of Equipment and Supplies
Name

Title

Ethnic Group

Gender

l. Marketing and Sales
Name

Title

Ethnic Group

Gender

m. Securing Insurance
Name

Title

Ethnic Group

Gender

n. Securing Bonding
Name

Title

Ethnic Group

Gender

o. Securing Employee Benefits
Name

Title

Ethnic Group

Gender

p. Signing Surety Bonds
Name

Title

Ethnic Group

Gender

q. Signing Payroll Checks

Name

Title

Ethnic Group

Gender

14.

The Sole Proprietor's Primary Banking Institution is:

Name of Bank

Address/City

Contact Person

Checking Acct. Number

15.

The name and Title of the Person(s) whose signature is required on any checks for the payment of any and all expenses of the Sole Proprietor including payroll and operational expense are:

| Name | Title | Type and # of Authorized Acct. | Number of Accompanying Signatures |
|-------|-------|--------------------------------|-----------------------------------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

16.

List the annual salaries, bonuses and commissions of the sole proprietor, including employees of the sole proprietor's staff/personnel during the past 12 months

| Name | Title | Salary | Bonus | Comm. | Total |
|-------|-------|--------|-------|-------|-------|
| _____ | _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ | _____ |

If no salaries, bonuses, and commissions have been paid during the last 12 months, please provide a brief explanation:

17.

Major equipment rented, leased or owned by the Sole Proprietor for business purposes is as follows:

| Equipment Type | Rented/Leased or owned | Name of Lessor | Lessor's Phone Number | Initial and End Date of Contract |
|----------------|------------------------|----------------|-----------------------|----------------------------------|
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |

18.

Does the Sole Proprietor share office space with another enterprise? [] yes [] no

If Yes:

| Name of other firm | Address | Type of Space | Relationship to Applicant/Principal |
|--------------------|---------|---------------|-------------------------------------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

19.

What persons, firms or entities contributed equipment, finances or personnel to the Sole Proprietor?

| Name of Firm | Address/City | Telephone Number | Amt. and Type of Support Supplied |
|--------------|--------------|------------------|-----------------------------------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

20.

A. Two (2) Current Customers of the Sole Proprietor are:

| Customer | Address/City | Telephone |
|----------|--------------|-----------|
| _____ | _____ | _____ |

Description of Work Performed: _____

| Customer | Address/City | Telephone |
|----------|--------------|-----------|
| _____ | _____ | _____ |

Description of Work Performed: _____

B. The Sole Proprietor, _____ has performed as a **PRIME CONTRACTOR** and has had the occasion to **SUBCONTRACT** work to the following firms:

| Subcontractor Firm | Address, City | Telephone# | Contract Date |
|--------------------|---------------|------------|---------------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

C. The Sole Proprietor, _____ has performed as a **SUBCONTRACTOR** wherein the applicant's work was PERFORMED for the following **PRIME CONTRACTORS**:

| Prime Contractor | Address, City | Telephone # | Contract Date |
|-------------------------|----------------------|--------------------|----------------------|
|-------------------------|----------------------|--------------------|----------------------|

| | | | |
|-------|-------|-------|-------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

The undersigned does hereby swear or affirm that the statements contained in THIS EQUAL BUSINESS OPPORTUNITY/SMALL BUSINESS OPPORTUNITY CERTIFICATION AFFIDAVIT and all attachments which have been provided in support of the foregoing application for certification are true, accurate, complete and include all information necessary to identify and explain the ownership and operation of:

(Name of Business Enterprise)

Further, the undersigned does covenant and agree to provide the City of Atlanta's Office of Contract Compliance with current, complete and accurate information regarding this Affidavit, its attachments or any other information deemed reasonably relevant to any project or contract issued by the City of Atlanta. The undersigned further agrees that as part of this certification procedure, OCC may freely contact any person or organization named in this application to verify statements made in this application and/or to secure additional information or data required to grant to, or withhold from the applicant enterprise certification as a Minority-owned Business Enterprise, Female Business Enterprise or a Small Business Enterprise. The undersigned understands and agrees that failure to submit required materials and/or to consent to interview(s), audit(s), and/or examination(s) will be grounds for immediate rejection of this application for certification or re-certification. It is recognized and acknowledged that the statements contained in this application are being under oath and that any material misrepresentation shall be construed and deemed to be subject to Section 106-90 of the City of Atlanta's Criminal Code of Ordinances in addition to being grounds for denial of certification or for de-certification and may result in the denial of an award or the termination of contracts which may have been awarded as a result of the information contained in this application.

The undersigned further acknowledges that information contained in this application may be shared with any public department or agency so long as the sharing of such information is in reasonable furtherance of the OCC investigation. It is further understood that certification will be revoked if after proper investigation by OCC, the applicant is determined to be engaging in activities which circumvent the intent of the EBO Program.

PROHIBITIONS AGAINST FALSE AND FRAUDULENT REPRESENTATIONS TO THE CITY

Pursuant to Atlanta City Code Section 106-90, it shall be unlawful for any person, knowingly and willfully and with intent thereby to mislead either on such person's own behalf or on behalf of others, as principal or agent, to make or file orally or in writing any false representations of fact to any department of City government. The City will impose applicable penalties and sanctions against any person making such false representation in connection with the City's Equal Business Opportunity and Small Business Opportunity Programs. In addition, the City will seek all available remedies under Georgia and Federal statutes against any person who knowingly, willfully or fraudulently attempts to obtain certification as a minority or female business enterprise.

ATTESTATION: I CERTIFY THAT ALL REPRESENTATIONS IN THIS CERTIFICATION AFFIDAVIT ARE CORRECT AS OF THE DATE STATED. THE UNDERSIGNED FURTHER ACKNOWLEDGES THAT CERTIFICATION IS NORMALLY REVIEWED EVERY TWO YEARS, HOWEVER, THE OFFICE OF CONTRACT COMPLIANCE RETAINS THE RIGHT TO RE-EVALUATE THE CONTENTS OF THIS APPLICATION AT ANYTIME. THE UNDERSIGNED ALSO SWEARS OR AFFIRMS THAT THE COPIES OF THE RECORDS WHICH ARE ATTACHED HERETO AND IDENTIFIED WITH ALPHABETIZED TABS ARE TRUE AND CORRECT COPIES OF THE BUSINESS RECORDS AS MAINTAINED BY THE UNDERSIGNED ON BEHALF OF

(Name of Enterprise)

Name of Person Signing: (Print)_____

Title of Person Signing: (Print)_____

Signature:_____
(Must match name of person signing)

Notary Public (Must exhibit seal or stamp to be acceptable)

**CITY OF ATLANTA
Contract Employment Report**

PLEASE TYPE OR PRINT IN INK. EACH APPLICABLE ITEM ON THIS FORM MUST BE COMPLETED.
INCOMPLETE FORMS WILL NOT BE PROCESSED.

NAME OF FIRM: _____ **TELEPHONE NO.:** _____

NAME OF OWNER: _____ **FAX NO.:** _____

MAILING ADDRESS: _____ **CITY:** _____

STATE: _____ **COUNTY:** _____ **ZIP CODE:** _____

PLEASE COMPLETE THE FOLLOWING INFORMATION:

WHAT TYPE OF BUSINESS WOULD YOUR COMPANY BE ENGAGED IN WITH THE CITY OF ATLANTA?

IS YOUR COMPANY AN AFFILIATE OR DIVISION OF A PARENT COMPANY?

IF YOUR COMPANY IS A DIVISION OF A PARENT COMPANY, A CONTRACT EMPLOYMENT REPORT FORM MUST BE COMPLETED FOR THE PARENT COMPANY AS WELL AS THE ATLANTA AREA DIVISION.

PLEASE LIST THE NUMBER OF EMPLOYEES IN EACH CATEGORY

| | Management/ Officials | | Professionals Arch, Engineers, etc | | Supervisors | | Office/Clerical/Sales | | Craftsmen/ Laborers | |
|----------------------|--------------------------|--------|--|--------|-------------|--------|-----------------------|--------|------------------------|--------|
| | Male | Female | Male | Female | Male | Female | Male | Female | Male | Female |
| Black | | | | | | | | | | |
| White | | | | | | | | | | |
| Asian American | | | | | | | | | | |
| Native American | | | | | | | | | | |
| Hispanic American | | | | | | | | | | |
| Other | | | | | | | | | | |
| TOTAL | | | | | | | | | | |

I CERTIFY THAT ALL REPRESENTATIONS ON THIS CONTRACT EMPLOYMENT REPORT FORM ARE CORRECT AS OF THE DATE STATED.

DATE

PRINT PREPARER'S NAME

PREPARER'S SIGNATURE

TITLE